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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Holland, Eric C.

FILED: October 3, 2003

SERIAL NO.: 10/679,231

FOR: Transgenic Animal and Uses  
Thereof

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ART UNIT:

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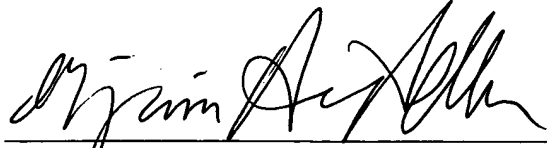
**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)**

Dear Sir:

Enclosed herewith for filing under 37 C.F.R. 1.97(b) in the above-referenced matter is form PTO-1449 together with copies of the references listed therein. If any questions remain, the Examiner is respectfully requested to call the undersigned attorney at (713)-270-5391.

Respectfully submitted,

Date: Aug 8, 2004  
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

Dear Sir:

I hereby certify under 37 CFR 1.8 that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to: Commissioner of Patents, BOX DD, Alexandria, VA 22313.

- 1) Information Disclosure Statement;
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- 3) PTO Form 1449 (Five References cited); and
- 4) Postcard.

Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Information Disclosure  
Statement

DOCKET NO.: D6534  
SERIAL NO.: 10/679,231  
APPLICANT: Holland, Eric C.  
FILING DATE: October 03, 2003  
GROUP:

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Publication: Patent Cooperation Treaty (PCT)

Translation:

Document Number:

Date:

Country:

Class Or Subclass

Patent: Yes ☐ No ☒

Title:

OTHER DOCUMENTS (Including Author, Title, Place of Date, Publication)

\_\_\_ a. Müller, C. et al. *Methylation of the Cyclin A1 Promoter Correlates with Gene Silencing in Somatic Cell Lines, While Tissue-Specific Expression of Cyclin A1 Is Methylation Independent: Molecular and Cellular Biology*, May 2000, vol. 20, no. 9, pp. 3316-3329

\_\_\_ b. Dieckmann, A. et al. *The E1A Transcriptional Control Region is Efficiently Activated in Proliferating Tissues of Transgenic Mice: Oncogene*, 1994, pp. 2227-2233

\_\_\_ c. Jiang, Z. et al. *Retinoblastoma Gene Promoter Directs Transgene Expression Exclusively to the Nervous System: The Journal of Biological Chemistry*, January 5, 2001, vol. 276, no. 1, pp. 593-600

\_\_\_ d. Holmberg, C. et al. *E2F-1-Induced p53-Independent Apoptosis in Transgenic Mice: Oncogene*, 1998, vol. 17, pp. 143-155

\_\_\_ e. Pierce, A. et al. *Increased E2F1 Activity Induces Skin Tumors in Mice Heterozygous and Nullizygous for p53: Proc. Natl. Acad. Sci.*, July 1998, vol. 95, pp. 8858-8863

Examiner

Date Considered

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)